

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

JACKSON

Atty. Ref.: 4450-16

Serial No. 10/532,757

Group: Unassigned

Filed: April 27, 2005

Examiner: Unassigned

For: A METHOD AND SYSTEM FOR MANAGEMENT OF
SOFTWARE PRODUCT LICENCES

* * * * *

September 28, 2005

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

**RESPONSE TO NOTIFICATION OF MISSING
REQUIREMENTS UNDER 35 U.S.C. § 371**

Responsive to the Notification of Missing Requirements mailed September 2, 2005 (a copy of which is attached), it is respectfully submitted that the executed Declaration was filed on September 2, 2005. A copy of the Declaration and PTO mail room date-stamped postcard indicating receipt of the executed Declaration is attached hereto.

Confirmation of receipt of the executed Declaration is respectfully requested.

Respectfully submitted,

NIXON & VANDERHIE P.C.

By: _____

Paul T. Bowen
Reg. No. 38,009

PTB:jck

Attachments:

Declaration

Date Stamped Receipt

Notification of Missing Requirements

901 North Glebe Road, 11th Floor

Arlington, VA 22203-1808

Telephone: (703) 816-4000

Facsimile: (703) 816-4100

Serial No.: 10/532,757
Inventor/s: JACKSON et al.
Title: A METHOD AND SYSTEM FOR MANAGEMENT
OF SOFTWARE PRODUCT LICENCES

C#/M#: 4450-16

Atty: Paul T. Bowen

Date: September 2, 2005

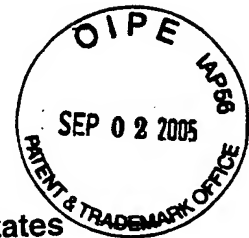
- ☐ Address Indication Form
- ☐ Sheets of Drawings
- ☒ Declaration (1 Pages)
- ☒ Assignment (2 Pages) including Cover
- ☐ Priority Document(s)
- ☐ Information Disclosure Statement

\$ Fee (Check) - Pre-Bill

\$ Fee (Check) - Non Pre-Bill

\$0.00 = Total Fee Enclosed

Other: Transmittal Letter to the United States
Designated/Elected Office



BEST AVAILABLE COPY

RULE 63 (37 C.F.R. 1.63)
INVENTORS DECLARATION FOR PATENT APPLICATION
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

As a below named inventor, I hereby declare that my residence, mailing address and citizenship are as stated below next to my name, and I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

A METHOD AND SYSTEM FOR MANAGEMENT OF SOFTWARE PRODUCT LICENCES

the specification of which (check applicable box(es)):

☐ is attached hereto
☐ was filed on _____ as U.S. Application Serial No. _____ (Atty. Dkt. No. 4450-16)
☒ was filed as PCT International application No. PCT/NZ2003/000248 on 5 November 2003
and (if applicable to U.S. or PCT application) was amended on _____

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose to the Patent Office all information known to me to be material to patentability as defined in 37 C.F.R. 1.56. I hereby claim foreign priority benefits under 35 U.S.C. 119/365 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed or, if no priority is claimed, before the filing date of this application:

Priority Foreign Application(s):	Country	Day/Month/Year Filed
Application Number 522453	NZ	5 November 2002

I hereby claim the benefit under 35 U.S.C. §119(e) of any United States provisional application(s) listed below.

Application Number	Date/Month/Year Filed

I hereby claim the benefit under 35 U.S.C. 120/365 of all prior United States and PCT international applications listed above or below:

Prior U.S./PCT Application(s):	Day/Month/Year Filed	Status: patented pending, abandoned
Application Serial No. PCT/NZ2003/000248	5 November 2003	

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon. And on behalf of the owner(s) hereof, I hereby appoint Nixon & Vanderhye P.C., telephone number 703-816-

4000 (to whom all communications are to be directed) and the attorneys of: **Customer Number 23117**, individually and collectively owner's/owners' attorneys to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith and with the resulting patent. I also authorize Nixon & Vanderhye to add or delete attorneys from that Customer Number, and to act and rely solely on instructions directly communicated from the person, assignee, attorney, firm, or other organization sending instructions to Nixon & Vanderhye on behalf of the owner(s).

1.	Inventor's Signature: Inventor:	<u>Wayne A. JACKSON</u> (first) (last) MI (state/country)	Date: <u>18/7/05</u>	New Zealand (citizenship)
	Residence: (city)	Wellington		
	Mailing Address: (Zip Code)	1 Mewburn Rise, Karori, Wellington, New Zealand		
2.	Inventor's Signature: Inventor:	<u>Vicky J. MCCULLOUGH</u> (first) (last) MI (state/country)	Date: <u>25/7/05</u>	New Zealand (citizenship)
	Residence: (city)	Auckland		
	Mailing Address: (Zip Code)	7 Awatea Road, Parnell, Auckland, New Zealand		
3.	Inventor's Signature: Inventor:	<u>Sheryl L. CHING</u> (first) (last) MI (state/country)	Date: <u>27/7/05</u>	New Zealand (citizenship)
	Residence: (city)	Nelson		
	Mailing Address: (Zip Code)	P.O. Box 15, Mapua, Nelson, New Zealand		

FOR ADDITIONAL INVENTORS, check box ☐ and attach sheet with same information and signature and date for each.

BEST AVAILABLE COPY



PT13
4450-16
UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/532,757	Wayne Albert JACKSON	4450-16

INTERNATIONAL APPLICATION NO.

PCT/NZ03/00248

I.A. FILING DATE

11/05/2003

PRIORITY DATE

11/05/2002

23117

NIXON & VANDERHYE, PC
901 NORTH GLEBE ROAD, 11TH FLOOR
ARLINGTON, VA 22203

DOCKETED

CLT/MATTER # 4450-16
MAIL DATE 9/2/05
DUE DATE Nov 2, 2005
FINAL DEADLINE Apr 2, 2006
DOCKETED BY tm/BST

CONFIRMATION NO. 9205

371 FORMALITIES LETTER



OC000000016932261

Date Mailed: 09/02/2005

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Indication of Small Entity Status
- Copy of the International Application filed on 04/27/2005
- Copy of the International Search Report filed on 04/27/2005
- Copy of IPE Report filed on 04/27/2005
- Preliminary Amendments filed on 04/27/2005
- Request for Immediate Examination filed on 04/27/2005
- U.S. Basic National Fees filed on 04/27/2005
- Priority Documents filed on 04/27/2005

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

FRANCINE YOUNG

Telephone: (703) 308-9140 EXT 215

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/532,757	PCT/NZ03/00248	4450-16

FORM PCT/DO/EO/905 (371 Formalities Notice)